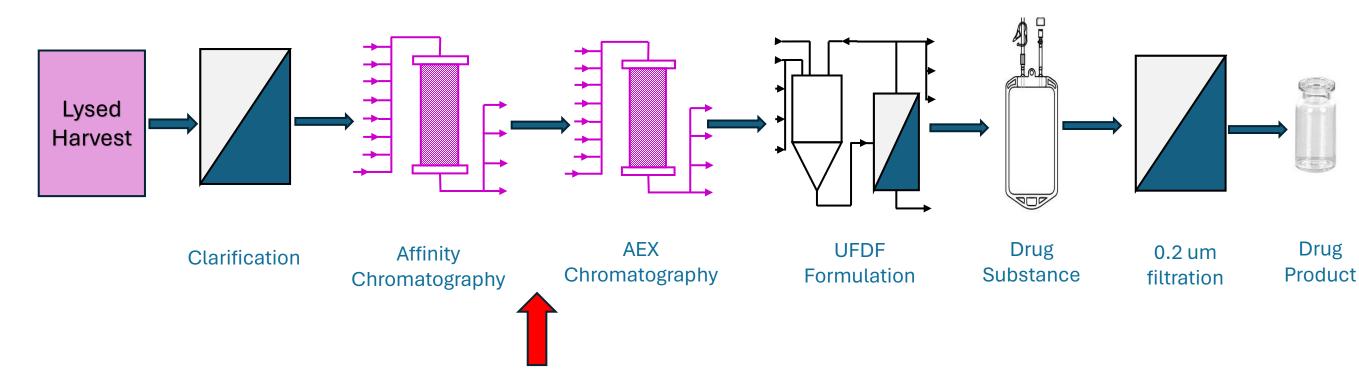


Case 1 Introduction: Solving rAAV2 Aggregation Issues

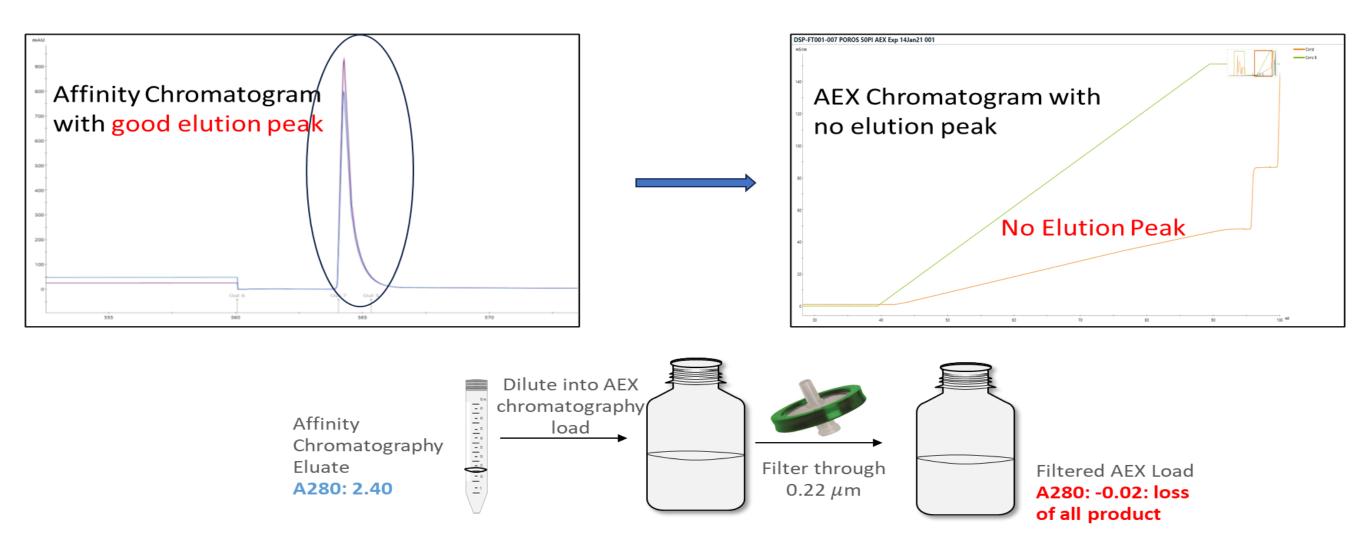
- rAAV2 is prone to aggregate, causing significant challenges during manufacturing (MFG) production, especially product loss
- Aggregation was observed during the transition from Affinity chromatography column to AEX chromatography column, almost 100% product loss before the AEX column
- A two-step dilution method was developed to avoid product loss based on the aggregation mechanism hypothesis

Frontera Downstream Production Platform



- Scalable MFG production platform with high purification recovery
- rAAV aggregation was observed after Affinity column before transitioning to AEX column (red arrow), causing 100% product loss

Issue: rAAV Aggregation Observed Post Affinity Chromatography



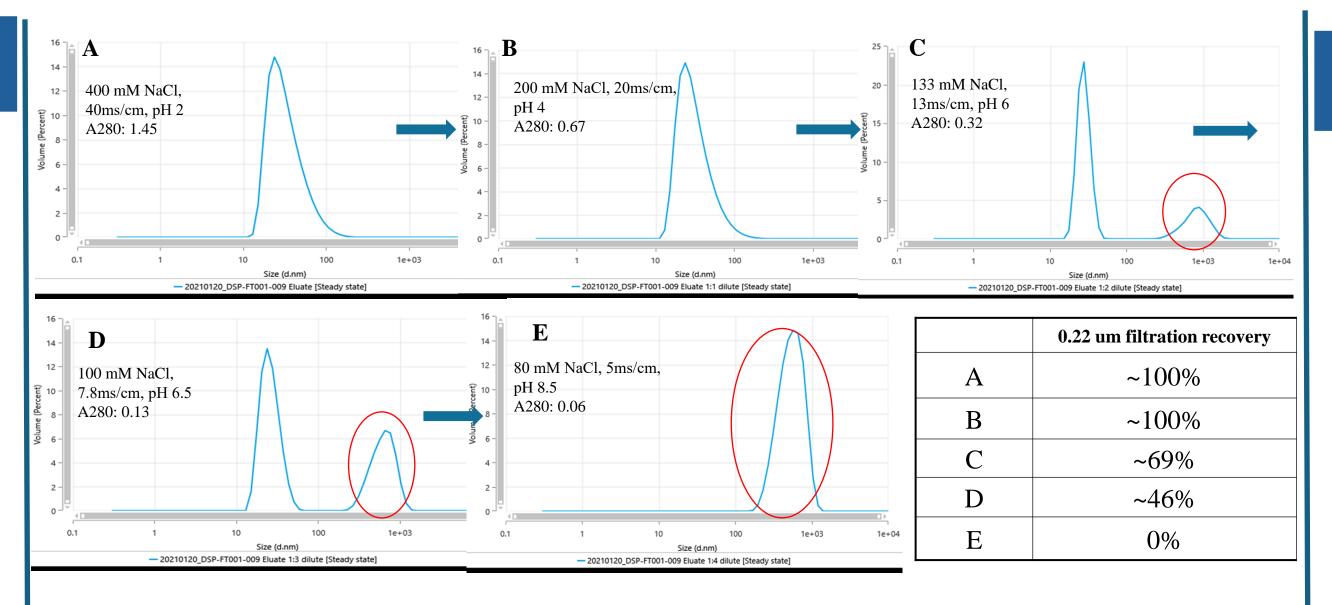
- Affinity column elution after diluting into AEX load buffer, the product was entirely lost post 0.22 µm filtration
- rAAV aggregation was identified as the underlying cause

Investigation: rAAV Aggregation when Transitioning from Affinity to AEX Chromatography

- Aggregation detected and quantified by dynamic light scattering (DLS)
- Following elution from affinity chromatography (condition A), the sample exhibited 100% monomer content. However, after buffer dilution for AEX chromatography (condition E), the sample showed complete aggregation.
- rAAV was lost post a 0.22 um filter.

Addressing Downstream Purification Challenges: Solving AAV2 Aggregation Issue and FRONTERA Developing Innovative Approaches for Empty Capsid Removal

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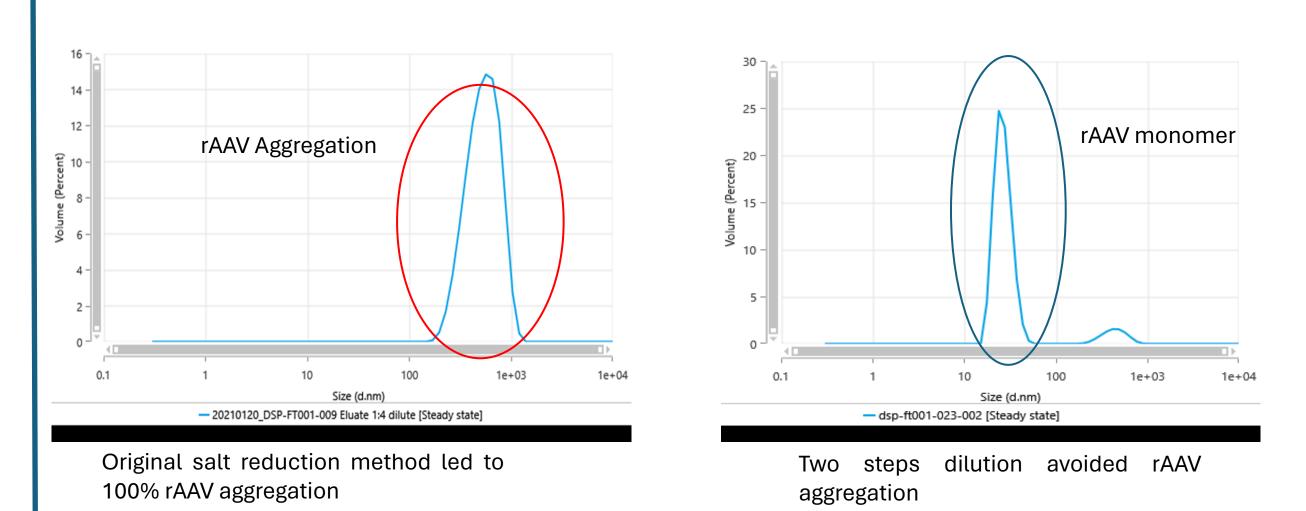


Hypothesis: Low Ionic Strength and High rAAV Concentration Led to rAAV Aggregation

•rAAV aggregation occurred upon dilution of the purified rAAV with a low ionic strength buffer. Through experimental investigation, we discovered that charged species that both low ionic strength and high rAAV concentration are contributing factors to the aggregation. •Uncharged species, such as carbohydrates and surfactants, were unable to prevent the aggregation

Solution: Two Step Dilution to Avoid Aggregation

- To mitigate aggregation formation, a two-step dilution method was developed
- Step 1: Dilute rAAV concentration while maintaining a high salt concentration.
- Step 2: Reduce both salt concentration and AAV concentration simultaneously.



Case 1: Conclusions

- rAAV aggregation observed during downstream purification process.
- Interplay of salt and rAAV concentration was found to be critical for rAAV2 aggregation
- During transition from affinity chromatography to AEX, controlling the salt concentration and rAAV concentration simultaneously was the key to prevent aggregation

Acknowledge and Reference

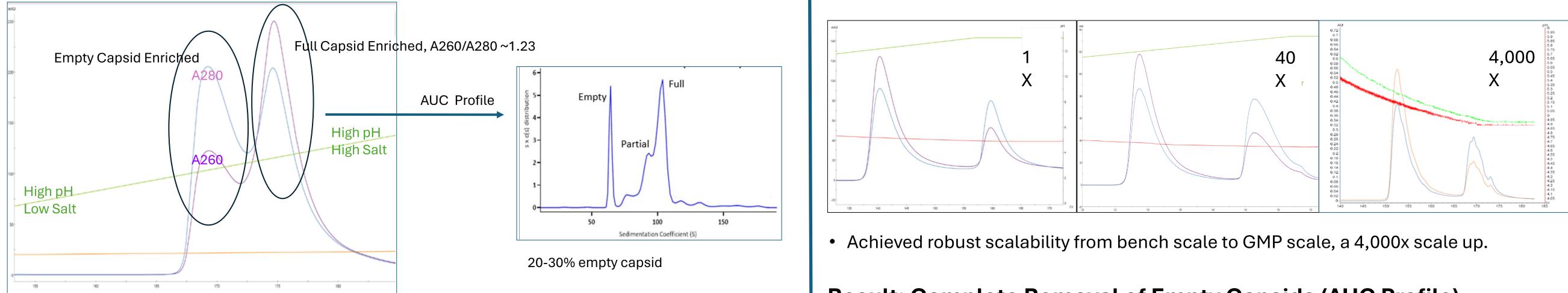
• We thank Sabrina Moisan for her contribution to rAAV aggregation work • J.F. Wright , et al, Mol. Ther., <u>Volume 12, Issue 1</u>, July 2005, Pages 171-178

Case 2 Introduction: Innovative Approach for Empty Capsid Removal

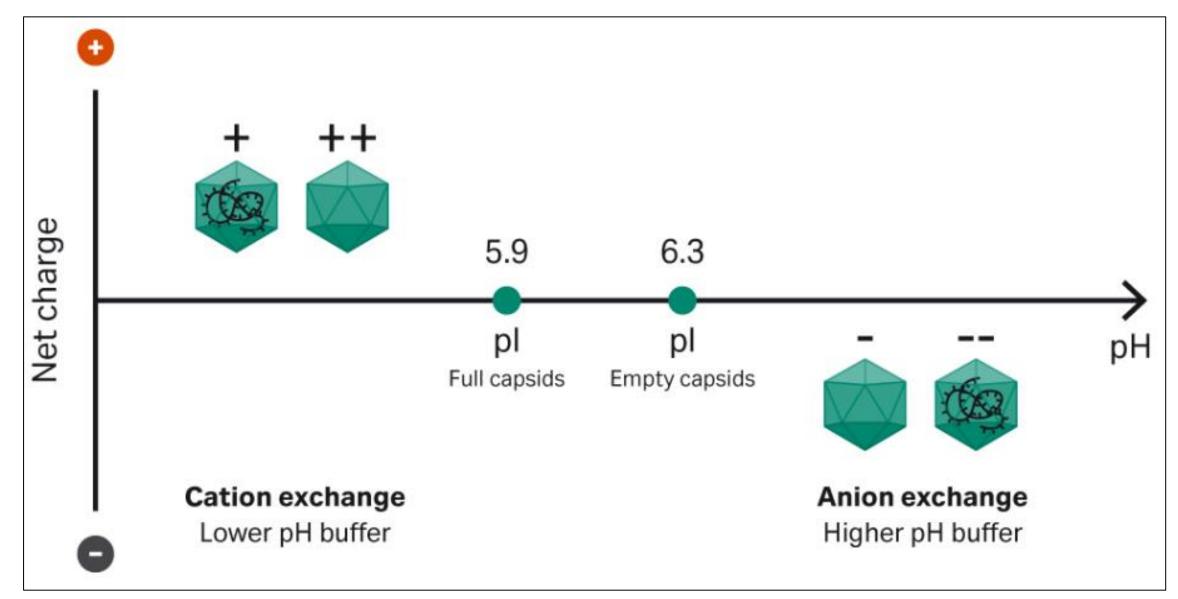
- Empty capsids are categorized as impurity in rAAV gene therapy
- Empty capsid increase the total capsid dosing, potentially increase the risk of immune response
- AEX is widely used to separate empty from full capsid and salt gradient is a common approach
- A novel pH gradient method to replace salt gradient was developed to enrich the % empty capsid down to 0%

Problem: Suboptimal Empty and Full Capsid Separation Using Platform Salt Gradient

- Suboptimal separation observed from harvest with higher % empty capsid, with an A260/A280 ratio of approximately 1.23, indicating about 20-30% empty capsids following AEX chromatography
- A260/A280 ratio: (Empty Capsid) 0.6 < A260/A280 < 1.4 (Full Capsid)



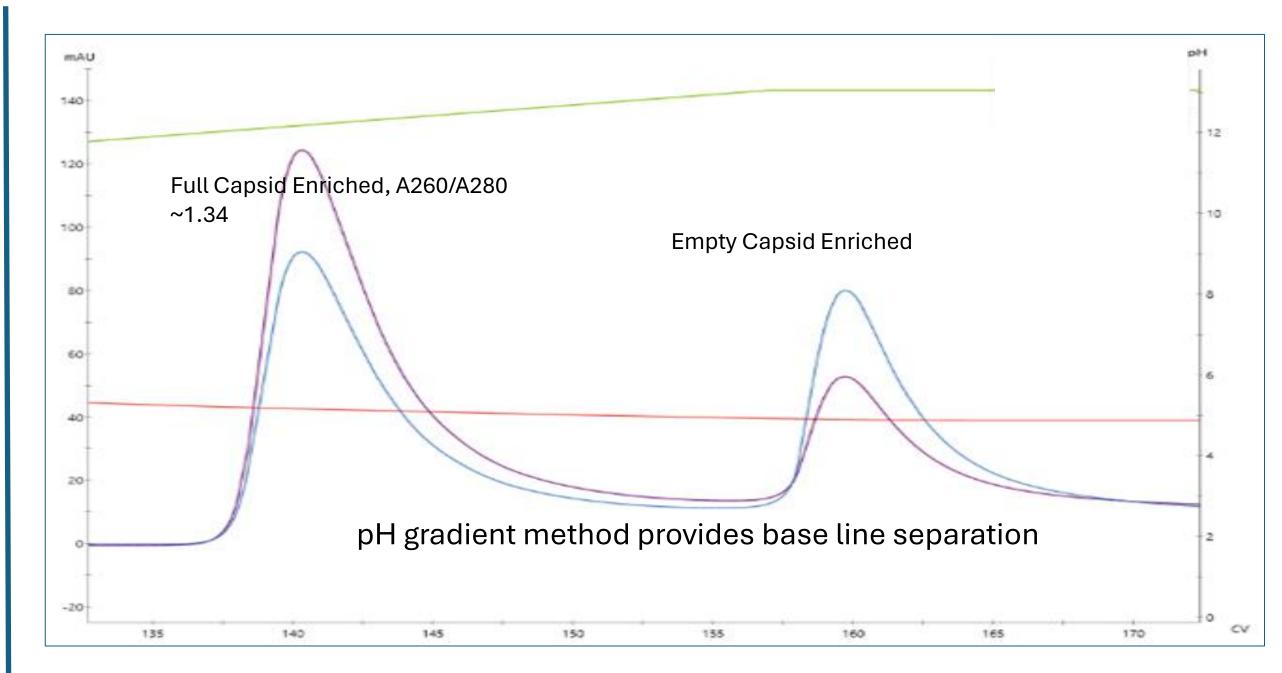
Theory: Empty and Full Capsid Separation Using IEX



- Both salt gradient and pH gradient theoretically enable the separation of empty and full vectors through IEX chromatography, based on the vector surface charge
- Besides the net charge, the distribution of charges on the capsid surface significantly influences its binding behavior to the resin.

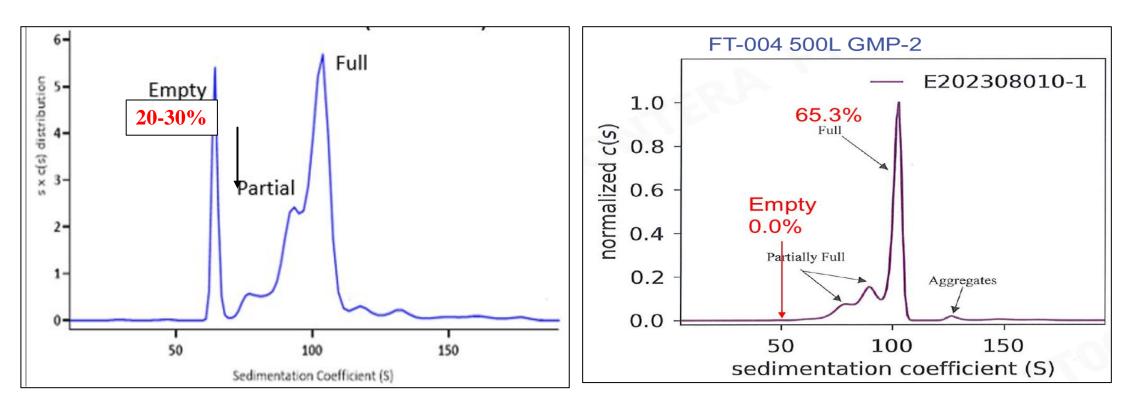
Solution: Empty and Full Capsid Separation Using Modified pH Gradient

- A new pH gradient process was developed using the same AEX Chromatography.
- Full capsid enriched peak eluted before empty capsid enriched peak.
- A260/A280 of the full capsid peak is around 1.34.



Scale up: Consistent Resolution during Scale up

Result: Complete Removal of Empty Capsids (AUC Profile)



• Empty capsid was improved from 20-30% from salt gradient method to 0% from pH gradient method by AUC

Case 2: Conclusion

- AEX step for empty capsid removal was developed and used in Frontera downstream purification platform
- Traditional salt gradient process is powerful, however, if harvest has higher % empty capsid, , this method is only able to enrich to 70-80% full capsid
- A new novel pH gradient method was developed to improve the % empty capsid removal
- A baseline separation between empty capsid and full capsid was achieved
- New pH gradient was able to scale up from bench scale to GMP scale
- New method improved % empty capsid removal from 20-30 % to 0%

Acknowledgement

• We thank Eric Tang for his contribution to this pH gradient AEX development work